# Chapter 2 Getting Familiar to NEAT Screen

# Learning Objectives:

After reading this chapter, you should be able:

- 1. To identify the characteristics of trader workstation screen.
- 2. To understand the various functions available on the NEAT screen.
- 3. To apply the knowledge of the various functions in real life while working on the terminal.

## Your guide engine to learning:

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- 2.5.4 Security List (Shift + F7)
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- 2.5.7 Order Status (Shift + F12)
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- **2.6** Supplementry Menu for Specific Features
- **2.7** Buy Back Shares (Ctrl + F5)

# 2.1 Introduction

The trading on National Stock Exchange is done through a software known as NEAT. The NEAT screen consists of various functions to make trading a pleasant experience. These functions help us in performing various tasks like placing a buy order, placing a sell order, modifying the orders already placed, keeping a watch on the market movement, finding market depth, checking the status of an order which had been placed earlier and other various supplementary functions. Since, NEAT being a practical topic, it has been dealt beautifully in the practical manual too. One can always refer to it in case he/ she want to have a look at the snapshots of the screen or want a detailed explanation of the same. Let us now have a look at the NEAT screen first and understand the various available functions on the same.

## 2.2 NEAT Screen

The NEAT screen consists of various segments. Each of the part is discussed below:

NEAT			20	411	0	3 NOV 2006 11	1:18:46
						S&P CNX NR	y 3792.30 (+1.10)
0 @ 126.75	Relation	N 10 @ 602.5	GEOMETRIC	EQ N 25 @ 1	18.50 ELDER	EBARN EQ 5 🛞	52.70 GAEL EO N 10
1 SP Het 1	🦕 🛹 🖗	+- <b>Z</b> ×	0 2 3 📾	55 🖬 😁 🛓	₩***	?	
ABAN	EQ N	20	1044.05	1 1048.00	3	1048.00	-
BHARTISHIP			345.10	1 346.85			-
BHEL							+
BPCL				1 414.60		414.80	
CENTURYTEX		150	556.15	556.25	40	556.25	
COLORCHIPS							
CROMPGREAV			236.55	1 237.00		237.00	
DABURPHARM		200		72,95	150	72.90	
DWARKESH	EQ N	46	111.85	111.90	50	111.95	
EDUCOMP	EQ N		648.05	1 646.80		649.90	-
FOURSOFT		14	63.25	63.30	10	63.25	*
GHCL	EQ N		175.50	1 175.60		175.50	+
GITANJALI	EQ N			1 211.50	332	211.50	
HCLTECH	EQ N		602.50	1 602.90	141	602,90	
HIMACHLFUT							
HINDMOTOR				1 36.75			
HINDPETRO		1430	334.35	334.70	248	334.70	
IDBI	EQ N			92.15			-
IDFC		102	78.90		6343	78.95	
IFCI		347418		1 12.00	641247	12.00	
IGL	EQ N		123.50	123.80	100	123.50	+
INFOSYSTCH	EQ N	5	2065.15	1 2065.80	163	2065.80	
ITC			186.80	1 196.90		186.90	
IVRCLINERA		474					
JETAIRWAYS	EQ N	200	559.05	599.85	37	599.85	
LANCOIND				1 0.00			
LML		15022					-
LT		51		1 1336.90	59		-
LYKALABS							*
11:15:11 8	a/C::1:416 ≥	PENSARTECH	EG 20				
THE REPORT OF	4/C:1 The sel	icitor period for	the auction will	ibc of 30 minu	tes.		

# 2.2.1 Title Bar:

**a. Logo:** It shows the logo of NEAT system.

**b.** Name of the software: The name of the software i.e. NEAT (National Exchange of Automated Trading) is displayed.

**c.** Name of the company: Name of the Trading Member who has taken membership with exchange, is displayed.

**d.** Login ID number: The login ID of the user is displayed. To get this ID, user has to clear NCFM certification in the desired module.

- e. Current date in format date month year ( for example 01.01.2007 should 01 JAN 2007)
- f. **Current time in format** hours: minutes: seconds

## 2 Sub Title Bar:-

It shows the current INDEX which is S&P CNX NIFTY with it changes from yesterday's closing in bracket along with +/- sign. For example if the current index is 4000 and the change is 100 points, then it will show like: S&P CNX NIFTY 4000 (+ 100), S&P CNX 500, CNX 100, CNX IT, CNX Nifty Junior, S&P CNX Defty, Bank Nifty and CNX Midcap.

## 3 Second Sub Title Bar:-

It shows the ticker window in which last traded trades comes in the sequence of symbol, series, market type, quantity and price in red color for sell and in white color for buy.

## 4 Tool Bar: -

It has 23 icons in total which can be operated by mouse as well as by key-board with shortcut keys.

## 5 Market Watch: -

This screen is the used to setup and view trading details of the securities that are selected by the user. For each security in the market watch, market information is dynamically updated.

## 6 System Message Window: -

This window shows the messages regarding order/trade confirmation / modification / cancellation etc.

## 2.3 Types of Orders 2.3.1 Regular Lot (RL) Orders:

The term RL stands for regular lot. An order that has no special condition associated with it is a Regular Lot Order. When a dealer places this order, the system looks for a corresponding Regular Lot order existing in that market (Passive orders). If it does not find a match at the time it enters the system, the order is stacked in the Regular Lot book as a passive order. By default, the Regular Lot book appears in the order entry screen in the normal market.

🛞 NEAT	BLB LI	MITED		137	750	08 JUI	N 2007 13:18:02	
						4	S&P CNX Nifty 4	161.70 (-17.80)
PRAJIND	EQ N 12 @	521.10 REL	ANCE EQ N	2@ 1665	.50 M&M EQ N 1 @	) 725.70IIIIIII		
🗇 😒 🗠	<u>* 👟 🛹 7 1</u>	M 🛨 — 🕈	<u>s x</u> 💷	2 🞒 🖾	66 🖬 🖼 🛃	M 🖨 🛛 📍		
IFCI	EQ N		40817	48.80	48.90	49305	48.90	-
ITC	EQ N		1677	151.70	I 151.75	2175	151.75	
BANBAXY	EQ N		209	367.65	367.95	100	368.00	
TATATEA	EQ N		4	831.00	831.50	1	831.00 +	
								- 1
SELL	BL	TATATEA	EQ	1	831.00	PRO 0642	8 DAY	
Disclosed					06428	Remarks		
13:16:24 M	I/C :1 Dependent	Family Member -	P Narmada Ra	o; No. of Share	es Sold - 300;			
13:16:24 M	I/C:1 Date of Ex	ecution - 31.05.2	007: Balance he	oldina - 700".				

# 2.3.2 Special Trade (ST) Orders:

The term ST stands for special terms. All the orders having either AON (all or none) or MF (min fill), come under the category of ST. Here all or none means that if some one is placing a big quantity order and he doesn't want to get less quantity to be executed, and then he can place an order that either all the quantity should get executed or none. Same in the case of minimum fill which means that at least this much of minimum quantity should get executed or none. It is also used for buyback of shares.

## 2.3.3 Stop Loss (SL) Orders:

The term SL stands for stop loss order. These orders are placed for minimizing/restricting the loss. In the event of a market crash or a rapidly sky rocketing market, it is not possible to place the order immediately and the client might have to suffer huge losses. To avoid such sudden losses and to restrict the loss in case the market moves rapidly in an unfavorable direction, stop loss orders are used. Stop loss orders are stored in this till the trigger price specified in the order is reached or surpassed. When the trigger price is reached or surpassed, the order is released in the regular lot book. A buy/sell order in the stop loss book gets triggered when the last traded price in the normal market reaches or exceeds the trigger price of the order. In these types of orders two types of rates are required, one is the trigger price, on which order will be activated in the Normal market and second is, the trade price, which can be market rate also. For buy stop loss order, the trigger price has to be less than or equal to limit price.

# **Trigger Price** –

Price at which an order gets triggered from the Stop Loss book is called Trigger price.

Limit Price - Price of the orders after triggering from Stop Loss book.

# 2.3.4 Negotiated Trade (NT) Orders:

The term NT stands for Negotiated Trade book, and it contains all negotiated trades captured by the operators before they have been matched against their counter party. These entries are matched with identical counter party. These orders contain a counter party code in addition to the other orders details.

# 2.3.5 Odd Lot (OL) Orders:

The term OL Stands for Odd Lot book which contains all odd lot orders (orders with quantity less/more than marketable lot). The operator matches an active odd lot order with a passive odd lot order in the book. Earlier, a market lot used to be of 100 units, so in order to place orders for less/more than 100 units odd lot market was used. But today, a lot is of 1 unit only, hence the need of odd lot market is not there any more hence, this operation is not working now-a-days.

# 2.3.6 Retail Debt (RD) Orders:

The term RD stands for RETDEBT book which contains all RETDEBT orders. The RETDEBT market facility on the NEAT system of capital market segment is used for transactions in Retail Debt Market session. Trading in Retail Debt Market takes place in the same manner as in equities (capital market) segment. An operator matches an active order with a passive order.

## 2.3.7 Auction Market (AU) Orders:

The term AU stands for Auction in which orders are entered for Auction Market. Auctions are initiated by the Exchange on behalf of trading members for settlement related reasons. The main reasons are Shortages, Bad Deliveries and Objections. The auction period is initiated at 12:00 P.M to 12:30 P.M. The matching process for auction orders in this book is initiated only at the end of the auction period. The auction ending period is between 12:30 P.M. and 1:00 P.M.

#### 2.4 Key Functions 2.4.1 Outstanding Order (F3):

This function is used to enable the User to view the outstanding orders (buy/sell) for a selected security. An outstanding order is an order that has been entered by the user, but which has not yet been completely traded or cancelled. The User is permitted to see his own/client's orders and can modify/cancel the orders till it gets executed. All the figures are till the time of invoking the window.

## **Invoking Outstanding Orders:**

To invoke Outstanding Order screen, press [F3] key. If a particular security is selected, the details of the selected security defaults in the selection screen or else the current position in Market Watch defaults.

🛞 NEAT	BLB LIMI	TED	137	750		08 J	UN 2007 13:22:	10 🗕	
							S&P CNX Nifty	4169.15 (-10	1.35)
C	E EQ N 20 @	9 1672.00 M&M E	QN6@ 727.	50 KPIT E	2 N 14	@ 143.50			
1 🗊 🗺 🚧 🍕	🚤 🙈 쯎 🎮	+	💷 名 🚳	66 🖬 🚟	🛓 📲	** 📾 ?			
IFCI	EQ N	32648	48.90	1 4	8.95	66498	48.90		-
ITC	EQ N	387	152.10	15	2.15	581	152.10		
RANBAXY	EQ N	5	368.25	1 36	8.45	.7	368.45 +		
TATATEA	EQ N	4	832.65	1 83	2.70	49	832.65 +		
									-
IFCI	EQ	Normal				O/S Orders As Of	13:22	08 JUN 20	07
DI 10750 00				45 00 L DI					
HL 13750 00	5428	200706081624659		45.00 HL	13750	06428	200706081624907		51.00
		Total Buy :							
13:22:06 M/C ::	1 ORDER 2007	06081624907 SELL 1	IFCIEQ Nat Rs. 5	1.00 DAY 06					
	CONFIRMED L	User ld 13750							

# 2.4.2 Market Watch (F4):

This screen is used to set-up and view trading details of the securities that are selected by the users. For each security in the market watch, market information is dynamically updated. The one line market information displayed is for current best price orders available in the Regular Lot Book.

For each security the following information is displayed:

#

- Symbol, Series and Market type
- # The corporate action indicator "Ex/Cum"
- # The total buy order quantity available at best buy price
- # Best buy price
- # Best sell price
- # Total sell order quantity available at best sell price
- # The last traded price
- # The last trade price change indicator
- # Increase/Decrease indicator
- # The no delivery period indicator "ND"



## 2.4.3 Market By Order (F5):

The purpose of Market by Order (MBO) is to enable the User, to view outstanding orders in the order books, in the order of price/time priority for a selected security. It shows best five orders by price time priority for buyers as well as for sellers for the selected scrip.

This function is not available for Equity market; it only works for Auction markets. This function can be invoked by using F5 key or by clicking on the first icon in the tool bar.

## Auction by Order

The Auction No. field is compulsory only to view Auction orders details.

The User can change any or all information by typing over the appropriate fields. The [Tab] and [Shift+Tab] keys can be used to navigate between the fields.

After entering the selection details, press [Enter] key. The detailed MBO screen is displayed.

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the state of the s						Si Si	&P CNX Nifty	432	0.10 (+17.50)
O AMBICA	AGAR EQ	N 5 @	13.20 INDI	ABULLS E	EQ N 5 @	520.45	EDUCOMP	EQ N 20	@ 2943.80
	≝‱≪	<b>4</b>	+-2>	1 🛛 名		56 🖬 📰	± -¥ +	🔒 A 👩	?
RANBAXY	E	Q N	220	369.30	369.50	) 15	369.9	50	<b>A</b>
STER	E	Q N .	7	599.25	599.85	5 40	599.3	25	
SIEMENS	EC	2 N	95	1240.00	1240.50	) 14	1240.0	00	
OMAXE	E(	Q N	75	322.75	323.00	) 1156	323.0	<mark>00</mark> +	
RELIANCE	E	2 N	10	1850.00	1850.50	76	1850.9	5 <mark>0</mark> -	
IFCI	E	2 N	67126	62.80	62.85	1173	62.9	85 +	
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		25		ATA	l 1080.	00	1	60	
		50		ATA					
		50		ATA					
		30		ATA					
				ATA					
	Total Buy :	310				Total	Sell: 1	60	
12:02:22 N 12:02:23 N	4/C:1 The B 4/C:1 The B	UY IN Auc UY IN Auc	No. 77 for DISHN No. 78 for DISH1	IAN EQ has ∣ Ƴ EQ has be	been initiated een initiated.	I.			

This function is now not available for NORMAL and RETDEBT market.

# 2.4.4 Market By Price (F6):

As the name suggest it shows best five buy/sell rates on the basis of price time priority with quantity available for a specified security. This window is dynamically updated. By pressing F6, the following window displays: Symbol, Series and Type of the scrip, is invoked. The output of this function contains the same fields as that of MBO (Market by Order).

## **Special Features of MBP:**

1. Regular lot & special term orders can be viewed in the MBP.

2. The status of a security is indicated in this screen. 'P' indicates that the security is in the pre-open phase and 'S' indicates that the security is suspended.

3. The percentage change for last trade price with respect to previous day's closing price and the average trade price of the security in the given market, are the additional fields in the screens.

4. No un-triggered stop-loss order will be displayed on the MBP screen.

5. Only orders for the best 5 prices information are displayed.

Press enter to view the best five buy/sell rates.

All the figures are till the time of invoking the window.



# 2.4.5 Activity Log (F7):

The Activity Log (AL) shows all the activities which have been performed on any order belonging to that User. These activities include order modification / cancellation, partial / full trade, trade modification / cancellation. It displays information of only those orders in which some activity has taken place. It does not display those orders on which no activity has taken place. All the figures are till the time of invoking the window.

## Invoking AL:

To invoke Activity Log, press [F7] key. If a particular security is selected, the details of the selected security defaults in the selection screen or else the current position in Market Watch defaults. Details of security in the selection screen can also be defaulted from the last action.



2.4.6 Previous Trades (F8):

The purpose of this window is to provide security wise information to Users for their own trades.

The 'Trades' window displays the executed orders. The trade details cannot be modified or cancelled, since the trades reflected in this window are already executed. The main advantage of this function is that it facilitates viewing the details of each trade separately which cannot be viewed in the Net Integrated Position window, wherein the entire buy/sell quantity is displayed with average price. All the figures are till the time of invoking the window.



**Invoking Previous Trades Screen:** 

To invoke Previous Trades screen, press [F8] key. If a particular security is selected, the details of the selected security defaults in the selection screen or else the current position in Market Watch defaults. User is provided with the client account type field. On giving an account type in this field, it is possible to view all previous trades for a particular client. The user can also blank out the Symbol & Series and get all the trades for a particular user id. The page up/page down keys will help the user to move from one security to another. For further details please see the Previous Trades screen.

# 2.4.7 Snap Quote (F9):

The Snap Quote is a feature available in the system to get instantaneous market information on a desired security. This is normally used for a security that is not setup in the Market Watch window. The information displayed is same as that in Market Watch window i.e. Corporate action indicator "Ex/Cum", the total buy order quantity, best buy price, best sell price, total sell order quantity, last traded price, last trade price change indicator and the no delivery indicator "ND". The information can be viewed without disturbing the market watch.

# 2.4.8 Market Enquiry (F11):

The purpose of the Market Enquiry is to enable the User to view the market statistics of a particular security in the particular market. It also displays the open price and previous close price for a security. This function is used to view the total market information of the selected scrip. This screen is not dynamically updated. It displays the security status of the security selected.

'S' indicates that the security is suspended;

'P' indicates that the security is in pre open (only for normal market) and in absence of the above indicators the security is open for trading.

	BLB	LIMITED	)	20	411		03 N	OV 2006	13:26:2	· (E	
								S&P CNX I	lifty	3783.25	(-7.95)
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	<u>*** 👟 « 4</u>	3 🚧 🕒	+ - 2 ×	10 2 🕬 📼	ə ə 🖬 🔛						
MCDOWEL	L-NMarket Ing	F11	129	793.80	1 794.	00	407	793.8			~
MID-DAY	EQ	N	100	49.40	49.	50	49	49.5	0		
MBPL	EQ	N	10	40.40	1 40.	50	544	40.5			
MTNL	EQ	N	594	137.10		15	278	137.1			
NOIDATOL	L EQ	N	672	37.40		45	198	37.4			
NTPC	EQ	N		130.05	<ol> <li>1 130.</li> </ol>	10	300	130.0	2		
ONGC	EQ	N	10	863.20	863.	50	303	863.5	<b>.</b> +		
PETRONET	r eq	N	540	52.95	1 53.	00	3262	52.9	5 -		
RADAAN	EQ	N N	5265	4.55	4.	60	500	4.5	5		
RANBAXY	EQ	N	20	403.15	1 403.	35	44	403.2	0 - 0		
REL	EQ	N	39	490.05	I 490.	20	125	490.2	0		
RELIANCE	EQ	N N	15	1279.00	I 1279.	35	300	1279.0	0		
BNBL	EQ	N	7184	25.20	1 25.	25	29870	25.2			
RPL	EQ	N	3353	66.90	I 66.	95	1895	66.9			
SAIL	EQ	N N	5250	86.15	86.	20	8243	86.1	2		
SBIN	EQ	N	1	1122.00	I 1122.	95	76	1122.9	0 +		
SKUMARSY	YNF EQ	N N	4489	78.30	1 78.	45	224	78.3	D -		
SRF	EQ	N	230	255.85	255.	90	223	255.8	5		
SUZLON	EQ	N N	10	1306.10	1306.	95	5	1306.3	2		~
TITICAT				NORMA	L Market As Of 1	3:26	1.10	0170	-		
BELIA	NCE	EQ		TTQ: 208297	79 !	52 Wk High:	1299.00	Lo	w: 580.	.10	
		1283.35	12	30.00	1290.00	126	68.00	1279.0			0.33
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10.11.0	0 1410 11	- 44									
13:11:3	8 M/C:1 0	oner etc.j Buy Quai	rtitv:0								

An indicator for corporate actions for a security is displayed on the screen.

# 2.5 Other Functions

## 2.5.1 Market Movement (Shift + F10):

In this function we can see the market movement of selected scripts on hourly basis. We can also print and save this report as per our requirement.

E NEAT EI UBHASPROJEQ E S M S S TC MRCLINFFA JET JOSAN Market Movem	LIMITED	SUNFLAG EQ N 1 724 779	20411 <b>0</b> 130 SUR <b>0 0 0 0</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>19720</b> <b>1111111</b>	AJDIAMN EQ N 副	03 NOV 21	006 12:41:46 P CNX Nifty 10 TATACHEM E 187.30 + 311.55 − 912.00 1.10	3783 35 (-7.85) Q N 1 @ 226
LT Symbol MA RELIANCE MC Total Buy O MF 1622812 MT High Price ND 1290.00 NT Time Interval	brder Qty Lo 12 Buy Order Oty	Series EQ Total Sell Order Oty 17.44606 W 70.10 Sell Order Oty	Market T Hormal Total Tra 1547253 Open Price 1290.00 Trade Oty	ype v Sev de Oty Last Tra 1275.70 High Price	arch ded Low Price	0.00 0.10 0.95 0.00 0.65 0.05 0.05 0.05 0.05 0.05 0.05	
PE 10:55 RA 11:55 RA RE RE RP SA SA SB	1061679 561133	1180823 563783	993975 553278	1290.00 1282.45	1270.90 1270.10	3.00 + 4.60 + 1.40 + 5.20 + 6.65 5.90 1.25 7,95	
SR SU TA TA 12:40:17 M/C :1 12:40:18 M/C :1		Sa uc No. 415 for ZE		Exit	]	17.90 17.90 8.00 8.50 19155	~

The Market Movement screen provides information to the User regarding the movement of a security for the current day on orders/trades done today. The information displayed is from the time when market opened today in chronological sequence.

This Enquiry gives the snap shot for a particular security for a time interval as parameterized by the Exchange.

# 2.5.2 Online Backup (Alt + F7):

Online Backup is a facility that the user can invoke to take a backup of all order and trade related information for the user. The information available is for the current day only. Online backup is in the TXT file format for the market segments such as Derivatives and Equities for various functions like Trade, Order, Spread.

BLB LIMITED	20411	06 NOV 2006 10:27:14 📃 🗖 🗙
a 1		S&P CNX Nifty     3806.55 (+1.20)     O N 10 FQ N 4 @ 24:TBOP*U -N FQ N 1
ABAN User ID : J20411 Branch ID :	Jos Lient A/C No. : J	40 1046.00
BHAF Market Type : All Markets 💌	Boo <u>k</u> Type : All	100 347.15 +
BHEL Symbol: Series	i Instr Tupe : All	3 2482.50 +
CENT STREET	. I Insu. Type.  All	405.25 695 560.45
COLC Start Date : 06 NOV 2006	End Date : 06 NOV 2006	0 0.00 ?
CROM	E 17 10.07	<b>45</b> 241.60 +
DABL Start Time : U9:00	End Time : 10:27	200 72.80 +
EDLIG Order D Store Store	Trade D Prov D Stall D	100 645.00
FOUF		25 61.50
GHCL All Buy :	All <u>S</u> ell :	35 175.60 +
GITAL Order Start No. :	Order End No. :	50 217.15
HIMA T I CLIMA		1285 25.65 +
HIND I rade Start No. :	Trade End No. :	i157 36.10
HIND Eormat : ASCII Copy to Drive :	C - Directory :	275 326.50
IDEC		798 77 55
IFCI Order File Name : ORDER.TXT	Trade File Name : TRADE.TXT	138 13.00
IGL OK	Cancel	349 123.50
		87 2065.90 -
IVRCI		200 312.55
JETAIRWAYS EQ N	50 627.70 I 627.90	1495 627.90
LML EQ N	4568 10.95   11.00	1350 10.95
LT EU N LYKALABS EO N	16 41 35 1340.85	25 41.35
MARUTI EQ N	21 970.50 971.00	782 971.00
10:26:11 M/C 1 the settlement for the same is or	November 8, 2006	×
10:26:11 M/C :1 All members are requested to ta	ike note of the same.	

The online backup facility involves a number of filtering options, like the market type, the instrument type and the duration of required report can be specified in terms of date and time.

## 2.5.3 Basket Trading (Ctrl + F6):

The purpose of Basket Trading is to provide NEAT users with a facility to create order entry file for a selected portfolio. By putting the value, the orders will be created for the selected portfolio of securities according to the ratios of their market capitalization.

#### **Reverse Basket Trading:**

The Purpose of Reverse Basket Trading is to provide the users with an offline file for reversing the trade that has taken place for the Basket Order. This file will contain orders of different securities of the selected basket file. The Orders will be created according to the volume of trade that had taken place for the specific basket. This can also be used to monitor the current status of the basket file as the latest status of the order is displayed in the list box. The functionality of creating reverse basket offline order file, based on orders at the time of creating the basket, will continue to be available.

MEAT	BLB LIMITEI	D		13750		1	5 JUN 2007	12:42:16	
.01 UTVSOF EQ	A 8 @ 524	.00 UTVSOF	EQ A 270	@ \24.00	UTVSOF EQ /	A 28 @ 5	24.00 UTVSC	OFEQA 175 (@	524.00UT
1 5 🗠 🖌	K 🖇 🚺 🖟	+ - % ×	tt 🛛 🕰 🖡	🖤 🐻 👘	i 🖬 🗐 🕹 斗	🕂 📢 🛖 A 🕝	?		
CIPLA	EQ N	s	UPPLEME	NTARY MEN	U	652	210	0.00 +	<u>^</u>
HCLTECH HDFC	EQ N EQ N	Yield Calculat	or (CTRL +	F4)	-	75	340 1815	0.05 - 5.00	
IFCI	EQ N	Client Master	iackup (CT Maintenano	RL+F2) :e		17104	48	3.15 +	
MARUTI	EQ N	Index Trading	et Trading		-	400	742	2.70 2.60	
NTPC Rev	verse Basket Ti	rading	ot Hading			_			
RPL								<b>E</b> 111	
BPL SBIN		Basket F	ile 🚺		-		10C	e de la companya de la	
TATAMOTORS	Order Number	Symbol	Series	Status	Vol. Remaining	Traded Qty	Value (lacs)	•	
TCS									
TTML									
WIPRO									
	]								
					Total Trd Val	ue (Lacs)			
		Reverse		Egit	1				
									-
12:41:28 M/C:1 T 12:41:40 M/C:1 T	'he BUY IN Auc N 'he BUY IN Auc N	lo. 361 for VISHAI lo. 366 for ZEENE	_EXPO EQ h NS EQ has (	as ended. ended.					

# 2.5.4 Security List (Shift + F7):

This is a facility for the User to list all the securities available in the trading system related to market watch for an instrument type. The User can also get the list of all the securities available in the system by leaving the instrument type blank. The User can select any of the security mentioned on the screen as they are used as default for the next operation.

## Settings of Security List

Security Details		P	ortFolio Deta	nils
Security Hame		[		▼ Set <u>T</u> o MW
Symbol	Series		<u>S</u> ave	Delete
Type	▼ Market All ▼ Type	Mone >>	Symbol	Series Mkt
Symbol Spectrence sha	Security Odd Lot RETDEBT	Move All >>		
Miscellaneous		<< <u>R</u> emove		
		Remove All	Clear All	Print
Search	lear All Print			
				Exit

Information about various instrument types is given. Instruments can be equity shares, preference shares, warrants, debentures and miscellaneous. Information about various market types is also available in the Market Type field. They are: Normal, Ret debt and Odd Lot.

#### 2.5.5 Security Descriptor (Shift + F8):

This function can be invoked by either pressing the [Shift+F8] keys or double clicking on the security setup in the Market Watch screen.

The DPR i.e. Daily Price Range, displays the permissible price band for a security for the current trading day.

E NEAT	BLB LIMIT	ED	13	750	08	JUN 2007 13:	1 <u>3:40</u>
C EO N E @	790 2011111			ULE EO N 1 @	793 00 KDIT E	S&P CNX Nifty	4156.70 (-22.80)
	/03.30					ບທ່ວແຜ່ 143.20 ]	ACCEGN40 @ //
IFCI	EQ N	11280	48.80	48.85	121	48.80 -	<u> </u>
BANBAXY	EQ N FO N	23	367.85	1 368.00	269	368.00	
	23 11	20	001.00	000.00	200	000.00	
TATATEA	EQ N	15	830.00	830.75		830.00	
TATATEALTD		BC : 25 JUL 2006	08 AUG 2006 <mark>EX</mark> : 2	1 JUL 2006	T: 1 0.05	<b>D</b> 4 40.00	
DPB			BEM A	GM/DIV-BS 12/-PEI	LICK 10.05 RSH	FV : 10.00	
Ditt.			TIEM 8	am/biv-113.12/4 Ei	11511		
							-
13:11:57 M/C :1	July 17, 2007 to	July 31, 2007 (both)	days inclusive) <u>for t</u> h	ne purpose			
13:11:57 M/C :1	Company for th	e financial year ende	d March 31, 2007. TH	ne Annual			

# 2.5.6 Auction Enquiry (Shift + F11):

Through this function user can view all the details related to auction of the selected scrip for the day. It shows:

🛞 NEAT	BLB LIMIT	ED	13750		08 J	UN 2007	13:39:00		×
		CE EO N	J 1 (2) 1687 20	ACC EO N 2	Ø 787.00	S&P CNXI	Nifty 41	76.70 (-2.80)	
							_		
IFCI	EU N	20333	49.35	49.40	67870	49.4	U +		
BANBASA		2477	369.55	369.60	23	369.5			
TATATEA	FON	20	832.00	834.00	329	834.0	0 +		
									-
			Auction I	Inquiry					
185 F B	Buy IFCI	EQ		0.00	49.15	749	2351	49.15	
10.00.10.1									
13:38:16 N	AC:1 Tinahcial results	s for the Quarter/ Year ende	d on March 31, 200						
13:38:16 M	INC :: (mttp://www.ns	seindia.com) under Corporate	es > Latest Announ	cements and					

The following are the different status displayed for an auction security:

- S Auction is in Solicitor Period
- M Operators is matching the orders
- F Auction is over
- X Auction is deleted
- P Auction is pending and yet to begin

## 2.5.7 Order Status (Shift + F12):

The purpose of the Order Status is to look into the status of the dealers own specific orders. The screen provides the current status of the orders with the other order details. The order status screen is not dynamically updated. In case the order is being traded, the trade details are displayed. In case of multiple trades the display of the orders can be seen by scrolling down. It shows the status of orders.

To view the status of an order, type the Order Number and press 'Enter'.

Order Number - 20051103	

On pressing 'enter', it shows the details of the specified order number. The following example shows a buy order of ITC for 1 share @ Rs. 156.

AL ITC	EQ	200707040879424	BUY	1 DAY	155.00 PRO	06428	06428
		Ohe Ted	I Todau : 0			0/S Ral - 1	
		ulty Hu	riouay.o			Uzo bali, i	

# 2.5.8 Online Backup (Alt + F7):

This function is used to take the backup of trades and orders, (as discussed earlier in F12 key function)

# 2.6 Supplementry Menu For Specific Features

## **First five functions**

🗑 NEAT	BLB LIMITED	)	20	411	06	NOV 2006 10:32:4	9 💶 🗆 🗙
						S&P CNX Nifty	3810.10 (+4.75)
.E EQ N 3 @	167.90 DOTE	CH EQ N 25 @	193.95 ISPA	TIND EQ N 200	IO @ 11.80 JI	KLAKKSHMI EQ N 30	@ 147.75 ACC
Image: Second	2 🔍 ኛ 🏘 🖡		) 📀 🔊 🐻	5° 51 🖬 📟 🔸	→FI+Ex() ()	]	
ABAN	EQ N	SUP	PLEMENTARY	MENU	2	1044.00 -	~
BHARTISHIP	EQ N	Depert Colection			20	349.00 +	
BHEL	EQ N	Full Massage Dis	play (CTPL + F	10)	5	2491.55	
BPCL	EQ N	Colour Selection	play (CTRE+F		68	406.00	
CENTURYTEX	EQ N	Print System Mes	sage (ON/OFF)		100	560.35	
COLORCHIPS	EQ N	Print Order/Trade	Confirmation S	lip ON/OFF 🔽	100	7.90 -	
CROMPGREAV	EQ N				1510	242.00	
DABURPHARM	EQ N				100	72.65 +	
DWARKESH	EQ N				750	112.25 +	
EDUCOMP	EQ N	Entor	1	Evit	176	646.00	
FOURSOFT	EQ N	Enter		EZit	134	61.50	
GHCL	EQ N				100	175.10 -	
GITANJALI	EQ N				124	217.70	
HCLTECH	EQ N	65	605.05	605.50	405	605.50	
HIMACHLFUT	EQ N	40434	25.65	25.70	14006	25.70 +	
HINDMOTOR	EQ N	595	36.05	1 36.10	2744	36.05 +	
HINDPETRO	EQ N	150	326.55	326.75	143	326.75 +	
IDBI	EQ N	458	80.50	1 80.60	2015	80.60 +	
IDFC	EQ N	450	77.70	77.75	4	77.70	
IFCI	EQ N	181425	13.05	1 13.10	386765	13.10 +	
IGL	EQ N	50	123.50	1 123.95	55	123.95 +	
INFOSYSTCH	EQ N	196	2067.05	1 2067.95		2067.95 +	
ITC	EQ N	534	187.60	1 187.70	114	187.70	
IVRCLINFRA	EQ N	79	313.50	313.60	3	313.50	
JETAIRWAYS	EQ N	99	627.65	627.85	50	627.85	
LML	EQ N	7979	11.00	1 11.05	4200	11.00	
LT	EQ N		1342.00	1 1342.80	72	1342.00	
LYKALABS	EQ N	58	41.15	I 41.45		41.15	
MARUTI	EQ N	799	971.05	I 971.50	35	971.50	*
10:31:38 M/C :1	NORBTEAEXP 01-	-APR-2006 30-SEP-20	006 Unaudited	First Half			

- 1. Report selection
- 2. Full message display (CTRL + F10)
- 3. Color Selection

- 4. Print System Message (ON/OFF)
- 5. Print Order/Trade Confirmation Slip (ON/OFF)

## Next five functions

	BLB LIMITE	D	20	411	l.	06 NOV 2006 1	1:21:15	
						S&P CNX N	ifty 3820,30	(+14.95)
C EQ N 156 (	② 1009.00 AN	SALINERA EQ N 1 (	@ 874.60	KESORAMINI	DEQ N 95 @	519.70 KOTAKI	BANK EQ N 10	② 360.
			2			2		
ABAN	EUN	SOPPLE	MENTARY	MENO	43	1069.00		~
BHARTISHIP	EU N	Ticker Selection		~	268	348.75		
BHEL	EQ N	Market Movement (S)	HFT + F10)		1.00	2488.15		
BECC	EGIN	Most Active Securitie:	5		120	406.70		
CENTLIONTEN		Reprint Order/Trade	Confirmatio	n Slip	150	723.35		
COLOBCHIPS	EG N	Net Position (ALT + F	6)	~	1000	7.90		
CROMPGREAM	EQ N				15	242.95		
DABLIERHARM	EO N					72.80		
DWARKESH	EG N	1			1 444	112.00		
DBBEDDY	EQ N	Enter		Exit	101	785.00		
EDUCOMP	EQ.N					677.30		
FOURSOFT	EQ N				60	61.50		
GHCL	EQ N	303	175.10	1 175.20	20	175.10		
GITANJALI	EQ N	428	217.00	1 217.15		217.00		
HELTECH	EQ N	50	609.15	i 610.00	12	610.00		
HIMACHLEUT	EQ N	6741	25.60	1 25.65	17369	25.60	-	
HINDMOTOR	EQ N	985	35.85	1 35.90	1095	35.90		
HINDPETRO	EQ N	50	326.65	1 326.95	186	326.90		
IDBI	EQ N		80.65	1 80.70	313	80.70		
IDFC	EQ N	1001	77.75	1 77.80		77.75		
IFCI	EQ N	28719	13.00	1 13.05	327351	13.00	-	
IGL	EQ N	129	123.55	1 123.70	. 80	123.55		
INFOSYSTCH	EQ N	34	2074.30	1 2074.90	. 50	2074.30		
ITC	EQ N	71	188.30	188.35	1772	188.30		
IVECLINEBA	EQ N	164	314.70	314.95	i 25	314.95	+	
JETAIRWAYS	EQ N	150	629.70	1 630.00	. 6	630.00		
LML	EQN	2458	11.05	1 11.10	5580	11.10		
	EQ N	292	1349.00	1 1350.00	374	1350.00		~
11:18:52 M/C :1	282 MARKSAN	S EQ MARKSANS	PHARMA LIM					
11:18:52 M/C :1	283 MARUTI E	MARUTI UDYOG LIMI	TED					

- 6. Ticker Selection
- 7. Market Movement (Shift + F10)
- 8. Most Active Securities
- 9. Reprint Order/Trade Confirmation Slip
- 10. Net Position (ALT +F6)

## Next five functions

E NEAT	BLB LIMITED	13750	15	JUN 2007 1	6:03:5	
				S&P CNX Nif	ly .	4171.45 (+0.00)
			) Beal	2		
ASHOKLEY		SUPPLEMENTARY MENU	2661	36.45	?	-
ATLANTA GAIL IFCI SAIL RCOM	EQ N Onli EQ N One EQ N Inde EQ N Use EQ N Sym	ne Backup (ALT + F7) Line or Tabular Slips xi nquiry (ALT + F3) r Order Value Limit Setup. bol wise User Order Quantity Limit.	16 62 5131 5209 0	318.00 296.00 49.15 135.75 0.00		
		Enter Exit				
						-
16:02:03 16:02:48	BROADCAST CONFEREN	NCE IDLE				

- 11. Online Backup (ALT + F7)
- 12. One Line or Tabular Slips
- 13. Index Enquiry (ALT + F3)
- 14. User Order Value Limit Setup
- 15. Symbol wise User Order Quantity Limit

Next five functions

B NEAT	BLB LIMITE	D 13		15 JUN	12007 16:04:10	
				<b> </b> \$	S&P CNX Nifty	4171.45 (+0.00)
	<u>_ &lt; </u>	+ - 2 × 60 2 #	ISSI 🖬 🔛 🗕 🗧		1	
ASHOKLEY	EQ N	SUPPLEMENTARY	MENU	2661	36.45 ?	<b>_</b>
ATLANTA	EQ N	SUOQL Bulk Upload		16	318.00 7	
GAIL	EQN	Off Line Order Entry		62	296.00 + 7	
IF CI S A II	EQ N	Order Limits		455131	49.15 - 7	
BCOM	EQ N	Order Attribute Selection	-1	0200	0.00 2	
		Theid Calculator (CTRE + F4)				
		Enter	E≚it			
16:02:03	BROADCAST CO	NEERENCE IDLE				•
16:02:48	BROADCAST CO	NFERENCE IDLE				

- 16. SUOQL Bulk Upload
- 17.Off Line Order Entry
- 18. Order Limits
- 19. Order Attribute Selection
- 20. Yield Calculator (Disabled)

E NEAT	BLB LIM	IITED 13	750	15 JUN 200	7 12:40:57	
ABAJENG EQ	A 100 @	168/80 TAL BROATO FO A 100 @	63.40 TALBBOAUT	0 F0 A 1 @ 63.4		0 FQ A 2 @
	. 🖉 🖅 M					
			MENU		10.00	
HOLTECH	EQ N	0011 EEMERTMAR		40 3	40.25	
HDEC	EQ N	Symbol wise User Order Quanti	ty Limit.	5 18	13.00	
IFCI	EQ N	SUDGL Bulk Opload		56939	48.20 -	
ITC	EQ N	Order Limite		732 1	52.60 -	
MABUTI	EQ N	Order Attribute Selection	-	1 7	42.55 +	
NTPC	EQ N	Orden lies the		768 1	51.00	
RANBAXY	EQ N	order limits		1 3	78.30 +	
RPL	EQ N	- Order Limits		100	97.00	
RPL	EQ N	Order Value (in lacs)	Order Quantity	100	97.00	
SBIN	EQ N	0.50		15 13	39.00	
TATAMOTORS	EQ N	0.50	3	462 6	50.00 +	
TATASTEEL	EQ N			<b>11</b> 6	11.40	
TCS	EUN	🗖 Unlimited		25 11	92.95 +	
TIME	EUN			481	26.45 +	
UNITECH	EQIN			50	35.30 +	
WIPHO	EQIN			20 0	32.70	
		Market Price Protection	5.00 👷			
		Enter	E≚it			
						-
12:40:48 M/C :1	The BUY IN A	Auc No. 342 for TINPLATE EQ has ended.				
-12:40:51 M/C :1	The BUY IN A	Auc No. 344 for TITAN EQ has ended.				

As above the system will not accept order of value Rs.50,000 (Qty x Price = value) were Quantity should not exceed then 3 shares.

## Next five functions

💮 NEAT	BLB LIMITED	202	28 30	AUG 2007	13:43:14	<u>-                                    </u>
130@	373.00 TRIVENI EQ N 50 @	79.60 PURVA EQ N	15 @ 3	369.90 <b>PURV</b>	4404.40 (- A EQ N 5 @	369.00
1	<u>                                     </u>			↓ →₽ +€<€	🔒 A 👩 🔶 🔶	
		SUPPLEMENTARY M	ENU			<u></u>
SIEMENS	EQ N Net Posit Client Ma Index Tra	tion Backup (CTRL + F2) aster Maintenance iding			0 +	
RANBAXY	EQ N About	Basket Trading		-	5	
STER	EQ N				0 +	
	E	nter	E <u>x</u> it	:		
						-
13:39:55	M/C:2 TRD: BOUGHT SIEMENS EQ N ORD 200708301838708 PRO	V1 OF 1 1234.90 Amt Rs 12 TRD 6391915 20228	234.90 For			

- 21. Net Position Backup (CTRL + F2)
- 22. Client Master Maintenance
- 23. Index Trading
- 24. Reverse Basket Trading
- 25. Reset User ID
- 26. About

NET POSITION BACKUP
User ID : 13750 Branch ID : 53
Symbol : Series :
PROVCU: QSAVC No. :
Copy to Drive : C 💌 Directory :
Ecenat : ASCII Trade File Name : NETPOS.TXT
<u>DK</u> Cencel
NET POSITION BACKUP
User ID : 13750 Branch ID : 53
Symbol: Series :
PRO/CLI ALL Y DIA/C No. :
P80
Copy to Drive : Directory :
Econy to Drive - 111 Directory : Econat : ASCII Trade File Name : 145 TPDS.TXT

## 2.7 Buy Back Shares (Ctrl + F5):

The purpose of Buy Back Trade functionality is to give information to the market about the buy back trades executed from the start of the buy back period till current trading date in the securities whose buyback period is currently on. It provides information about Symbol, Series, Day's high price, Day's Low Price, Day's Weighted Average Price, Day's Volume, Total Volume, Highest/Lowest/Weighted Average Prices till previous day; Buy Back Start & End date.

The Buyback Trade functionality also provides users with the information about the buyback trades going in various securities. The front screen shows Symbol, Series, Low price (Today), High price (Today), Weight age. Average price, Volume (Today), & Previous day Volume.

The user after selecting a particular row from the buyback list box can view further information via Symbol, Series, Start date, End date, Total Traded Qty (Till date), Previous High price, Previous Low price and Wt avg. price till date of buyback scheme. The Buyback broadcast updates the information.

Image: Second	EAT	BLB LIMI	ED		20229		03 SEP	2007 11:41:	59 <u>– – ×</u>
							ŧ	S&P CNX Nifty	4476.30 (+12.30)
<u>9</u> :	58.20 P E	QN10 @	853.30 SU	TV EQ N 95	551.00 XL	TL EQ N 100 @	) 171.00 ABA	NEQN1 10	3730 AMTEKAUT
r 🛱		. 🔏 🛃 👪	2						
									<u> </u>
	BUYBACK	TRADES							
ACC								1080.00	
BAN	Symbol	Series	Low price	High price	vvt. Average	Volume	Prev day Volume	0.00	
ттмі			(Today)	(Today)	price	(Today)	volume	33.00	
NTPO	CARL	FO	0.00	0.00	0.00	0	5000	- 177.60 +	
OMA	ICI	EO	0.00	0.00	0.00	0	52916	321.25 +	
FORT	MRO-TEK	EO	Start [	Date : 04 JUN	1 2007	End Date:	28 MAR 2008	85.15 +	
	Tetel Teede	1.01 700005	Denve Likele D	47.50	D	40.50 N	A A		
	i otal i rade	a yiy: 766689	Frev. High F	nce: 47.30	Frev.Low F	rice: 43.50 W	/t.AVg: 40.31		
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		Retresh		Print		Ε <u>x</u> π			
		10 1 15							<b>~</b>
11:	:41:27 M	/C:1 of the	Annual Gen	eral Meeting	g. 4) Fixation (	of the book clos	ure dates		
11	:41:27 M	/C:1 Closur	e dates fror	n Septembe	r 21, 2007 to	September 27,	2007 (both		

## Summary/Recapitulation

- The NEAT screen is a user friendly screen which helps a dealer to put orders which in turn will become trades if they get matched.
- The main screen of NEAT consists of Title bar, Sub title bar, Second sub-title bar, Tool bar, Market watch and System message window.
- There are various types of orders that can be entered in the system. They are regular lot orders, special trade orders, stop loss orders, negotiated trade orders, odd lot orders, retail debt orders and auction market orders.
- There are many key functions like Outstanding Order, Market Watch, Market By Order, Market By Price, Activity Log, Previous Trades, Snap Quote and Market Enquiry.
- There many other functions like Market Movement, Online Backup,

Basket Trading, Security List, Security Descriptor, Auction Enquiry, Order Status and Online Backup.

• The supplementary menu provides specific features related to NEAT software. It provides additional features like report selection, full message display, colour selection, print system message, trade confirmation slip ticker selection, market movement, reprint order, net position, online backup, index enquiry etc.

## Let's revise - New Terms

- Special trade orders
- Stop loss order
- Trigger price
- Negotiated trade order
- Odd lot order
- Retail debt order
- Outstanding order
- Activity log

- Previous trades
- Snap quote
- Market inquiry
- Reverse basket trading
- Security descriptor
- Buy back shares
- Order status

#### **Multiple Choice Questions – Time your efforts**

- **Q1** The first ticker window, by default, displays all the \_\_\_\_\_\_ traded in the future & option segment.
  - a) Derivatives contract.
  - b) Nifty securities.
  - c) Mid cap Securities.
  - d) Nifty junior stocks.
- **Q2** The ticker selection facility is confined to the securities of \_\_\_\_\_\_ segment only.
  - a) Derivative market.
  - b) Capital market.
  - c) Commodity market.
  - d) Auction market.
- **Q3** The message window enables the user to view messages broadcast by the\_\_\_\_\_\_ such as corporate actions, any market news etc.
  - a) Exchange.
  - b) RBI.
  - c) GOVT.
  - d) CRISIL.
- **Q4** The one line market information displayed in the market watch screen is for current best price orders available in the \_\_\_\_\_\_ .
  - a) Odd lot book.
  - b) RETDEBT book.
  - c) Regular lot book.

- d) Auction order book
- **Q5** One of the best features of NEAT software is that the user has the facility to set up\_\_\_\_ securities in the market watch.
  - a) 100.
  - b) 150.
  - c) 500.
  - d) 400.

**Q6** The purpose of market by price is to enable the user to view

\_\_\_\_\_\_ in the market aggregated at each price & are displayed in order of best prices.

- a) Outstanding orders.
- b) Outstanding auctions.
- c) Outstanding buyers.
- d) Outstanding sellers.
- **Q7** The status of a security is indicated in the screen market by price. 'P' indicates that the security is in the \_\_\_\_\_\_ & 'S' indicates that the security is\_\_\_\_\_.
  - a) Pre-open phase, suspended.
  - b) Purchased, sold.
  - c) Profit, special.
  - d) Purchased, special term order.
- **Q8** The purpose of previous trades window is to provide security-wise information to \_\_\_\_\_\_ for own trades.
  - a) Users.
  - b) NSE.
  - c) BSE.
  - d) Agent.
- **Q9** Trade modification can be requested from the \_\_\_\_\_\_.
  - a) Previous trade screen.
  - b) Market by price window.
  - c) Market watch window.
  - d) Ticker window.
- **Q10** The purpose of auction inquiry is to enable the users to view the auction activities for the \_\_\_\_\_.
  - a) Previous trading day.
  - b) Current trading day.
  - c) Bonus shares.
  - d) Ex-interest shares.
- Q11 Which of the following statements are true?a) The purpose of Basket Trading is to provide NEAT users with a facility to create offline order entry file for a selected portfolio.

b) The amount entered for basket trading is in lakh & must be less than or equal to Rs. 3000 lakh.

- a) Only a.
- b) Only b.
- c) None.
- d) Both

**Q12** Which feature allows the trading member to get instantaneous market information on any desired security?

- a) Inquiry window.
  - b) Message window.
  - c) Snap Quote.
  - d) Market Information displayed.

**Q13** Exchange gives the default password \_\_\_\_\_\_ for trading members who logs in for the first time.

- a) 12345.
- b) NEATTM.
- c) LOGIN.
- d) NEATCM.
- **Q14** Which screen appears with the following details UserID, Trading member ID, Password, New Password?
  - a) ODIN.
  - b) NEAT.
  - c) BOLT.
  - d) All of the above.

**Q15** Which is the third window from the top of the NEAT screen?

- a) Market by Price.
- b) Activity Log.
- c) Market Watch.
- d) Previous trade.
- **Q16** The purpose of which window is to provide security-wise information to users for own trades?
  - a) Activity log.
  - b) Previous trade.
  - c) Market enquiry.
  - d) None of the above.
- **Q17** Which screen shows all the activities performed on any order?
  - a) Activity log.
  - b) Auction Inquiry.
  - c) Basket trading.
  - d) Order status.

- **Q18** What does <u>S</u> stand for the status in the auction enquiry screen?
  - a) Auction is over.
  - b) System is matching the orders.
  - c) Auction is in Solicitor period.
  - d) None of the above.

## Q19 What is the full form of 'XB'?

- a) Ex-bonds.
- b) Ex -cum bonus.
- c) Ex-bondages.
- d) Ex-bonus.
- **Q20** What does  $\underline{\mathbf{P}}$  stand for the status in the auction enquiry screen?
  - a) Performing scripts.
  - b) Pending scripts.
  - c) Pending scripts for Auction.
  - d) Auction is pending and yet to begin.
- **Q21** What does <u>**S**</u> indicate as a status of security in Market by price screen?
  - a) Suppressed security.
  - b) Suspended security.
  - c) Special security.
  - d) None of the above.

#### Answers

Q1 (a)	Q2 (b)	Q3 (a)	Q4 (c)	Q5 (c)	Q6 (a)
Q7 (a)	Q8 (a)	Q9 (a)	Q10 (b)	Q11 (d)	Q12 (c)
Q13 (d)	Q14 (b)	Q15 (c)	Q16 (b)	Q17 (a)	Q18 (c)
Q19 (d)	Q20 (d)	Q21 (b)			

#### Very short answer type:

- Q1 The trader workstation screen of the trading member is divided into how many windows?
- Q2 Explain the various functions of tool bar.
- Q3 What is market watch?
- Q4 What is the shortcut key to invoke security descriptor?
- Q5 What is the purpose of previous trades window?
- Q6 What is multiple index broadcast & graph screen?
- Q7 How online backup can be invoked by using a shortcut key?
- Q8 What is the purpose of Auction Inquiry screen?
- Q9 What are the two special features of the market movement screen?
- Q10 What do you understand by outstanding orders?
- Q11 Name the options that are displayed on invoking the log off screen.
- Q12 Mention the details which appear on logging on the NEAT screen?

## Short answer type:

- Q1 What is ticker window?
- Q2 What is the main feature of snap quote?
- Q3 Write short note on message window, Inquiry window.
- Q4 What are the features of market by price?
- Q5 The purpose of invoking market movement screen & its features.
- Q6 How a trader can check the status of various orders entered by him?
- Q7 What is the importance of the market movement screen?
- Q8 What is the special feature of market inquiry screen in regard to corporate actions?
- Q9 What are buy back trades?
- Q10 Write a short note on the following
  - a) Trading member trading ID.
  - b) Temporary Sign Off.
- Q11 Mention the special features of
  - a) Previous Trades.
  - b) Outstanding Orders.

#### Long answer type:

- Q1 What is the importance of activity log & its special features?
- Q2 What is basket trading?
- Q3 What are supplementary functions?
- Q4 Describe all the windows of the NEAT screen?
- Q5 "The purpose of the Order status is to look in to the status of one of the dealer's own specific orders". Specify about the same and its special features?
- Q6 Explain about the market watch window and the information displayed in it to simplify users work.